

# Velocity distributions of superthermal electrons fitted with a power law function in the magnetosheath: Cluster observations

Quanming Lu,<sup>1</sup> Lican Shan,<sup>1</sup> Chenlong Shen,<sup>1</sup> Tielong Zhang,<sup>1,2</sup> Yiren Li,<sup>1</sup> and Shui Wang<sup>1</sup>

Received 12 September 2010; revised 24 December 2010; accepted 7 February 2011; published 18 March 2011.

[1] Satellite observations have revealed that superthermal electrons in space plasma generally possess a power law distribution. In this paper, we utilize a power law function to model the omnidirectional differential fluxes of superthermal electrons observed by Cluster in the magnetosheath. By assuming an isotropic pitch angle distribution and performing a nonlinear least squares fitting, we can calculate the index  $\alpha$  of the power law distribution of the superthermal electrons. We found that in the magnetosheath the indices  $\alpha$  of the power law distributions decrease with the increase of  $\omega_{pe}/\Omega_e$ . It is consistent with the results of the recent particle-in-cell simulations, which described the electron distributions scattered by enhanced whistler waves. This is the first reported observation of this relation in space plasma.

**Citation:** Lu, Q., L. Shan, C. Shen, T. Zhang, Y. Li, and S. Wang (2011), Velocity distributions of superthermal electrons fitted with a power law function in the magnetosheath: Cluster observations, *J. Geophys. Res.*, *116*, A03224, doi:10.1029/2010JA016118.

## 1. Introduction

[2] Space plasma is typically hot, tenuous and collisionless. Its characteristics are essentially controlled by the collective wave-particle interactions rather than those individual particle-particle collisions. Interaction of a small population of superthermal electrons with plasma waves is one of the most fundamental problems of modern plasma physics. Plasma in space generally exhibits a pronounced non-Maxwellian high-energy tail distribution that can be well modeled by a generalized  $\kappa$  or power law function [Vasyliunas, 1968; Feldman *et al.*, 1982, 1983; Summers and Thorne, 1991; Collier *et al.*, 1996; Maksimovic *et al.*, 1997a, 1997b; Yin *et al.*, 1998; Saito *et al.*, 2000; Viñas *et al.*, 2005; Xiao *et al.*, 2008a; Schippers *et al.*, 2008].

[3] The  $\kappa$  distribution is a full velocity distribution that models both the Gaussian-like, low-energy thermal core particle component, as well as the superthermal tail, where it satisfies a power law function. The formation of  $\kappa$  distributions or power law distributions of superthermal electrons is generally attributed to the interaction of energetic electrons with long-wavelength turbulence [Gurevich, 1960; Tverskoi, 1968; Pelletier, 1982; Hasegawa *et al.*, 1985; Roberts and Miller, 1998; Ma and Summers, 1998; Leubner, 2000]. It was shown that, the  $\kappa$  distributions naturally appear in a procedure of entropy generalization within the frame of nonextensive statistics [Leubner, 2004]. This allowed fundamental generalizations

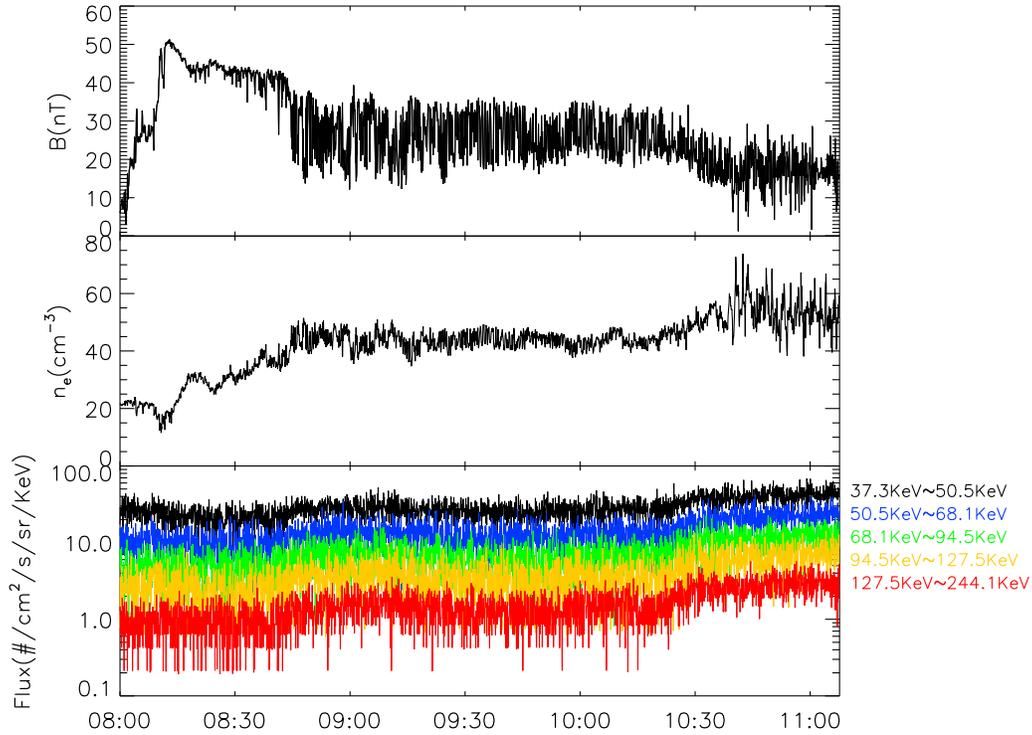
of  $\kappa$  distributions including the description of long-range forces in solar wind turbulence [Leubner and Vörös, 2005a, 2005b]. Hasegawa *et al.* [1985] obtained an analytical  $\kappa$  distribution solution due to the scattering of a high-intensity radiation field. The  $\kappa$  distributions can also be formed by stationary whistler turbulence [Ma and Summers, 1998; Roberts and Miller, 1998]. On the basis of Tsallis statistical mechanics, Livadiotis and McComas [2009] obtained that the value of  $\kappa$  is larger than 3/2. One thing should be noted is that these approaches are based on a non-self-consistent model. Recently, Yoon *et al.* [2006] developed a self-consistent model, which attributes the electron  $\kappa$  distributions in plasma to the scatter by weak turbulence processes involving the Langmuir/ion sound turbulence and the beam-plasma interaction. Lu *et al.* [2010] investigated the nonlinear evolution of the whistler instability driven by a population of superthermal electrons. They fitted the electron distributions at the quasi-equilibrium stage to a  $\kappa$  function, and found that the spectral index  $\kappa$  of the  $\kappa$  distribution decreases with the increase of  $\omega_{pe}/\Omega_e$  (where  $\omega_{pe}$  and  $\Omega_e$  are local electron plasma and cyclotron frequencies, respectively). For energetic electrons, the  $\kappa$  distribution is equivalent to the power law distribution, therefore, we can anticipate that the indices  $\alpha$  of the power law distributions will also decrease with the increase of  $\omega_{pe}/\Omega_e$ . The purpose of this paper is to study the relation between the indices  $\alpha$  of power law distributions and  $\omega_{pe}/\Omega_e$  by analyzing the electron differential fluxes at high energies, which is measured by Cluster in the magnetosheath.

## 2. Fitting Method and Observations

[4] We assume that the superthermal electrons in the magnetosheath satisfy an isotropic power law distribution,

<sup>1</sup>CAS Key Laboratory of Basic Plasma Physics, School of Earth and Space Sciences, University of Science and Technology of China, Hefei, China.

<sup>2</sup>Space Research Institute, Austrian Academy of Sciences, Graz, Austria.



**Figure 1.** (top) The magnetic field, (middle) the electron density, and (bottom) electron differential fluxes during the interval 0800–1110 UT on 16 March 2002. The spin resolution is 4 s.

which can be described as

$$f(E) \sim E^{-\alpha} \quad (1)$$

where  $E$  is the kinetic energy of particles, and  $\alpha$  is the index of the power law distribution. The typical values of  $\alpha$  in space plasma lie in the range  $3.0 < \alpha < 7.0$  [Vasyliunas, 1968; Feldman *et al.*, 1982, 1983; Summers and Thorne, 1991; Maksimovic *et al.*, 1997b; Xiao *et al.*, 2008b; Schippers *et al.*, 2008].

[5] The relation between the differential flux  $j(E)$  and the distribution function is:  $j(E) = p^2 f(E)$ . In the relativistic limit, we can get

$$p^2 = \frac{m_0 E(E + 2E_0)}{E_0} \quad (2)$$

where  $E_0 = m_0 c^2$  is the rest mass energy of particles. Therefore,

$$j(E) = \frac{E(E + 2E_0)}{c^2} f(E) \quad (3)$$

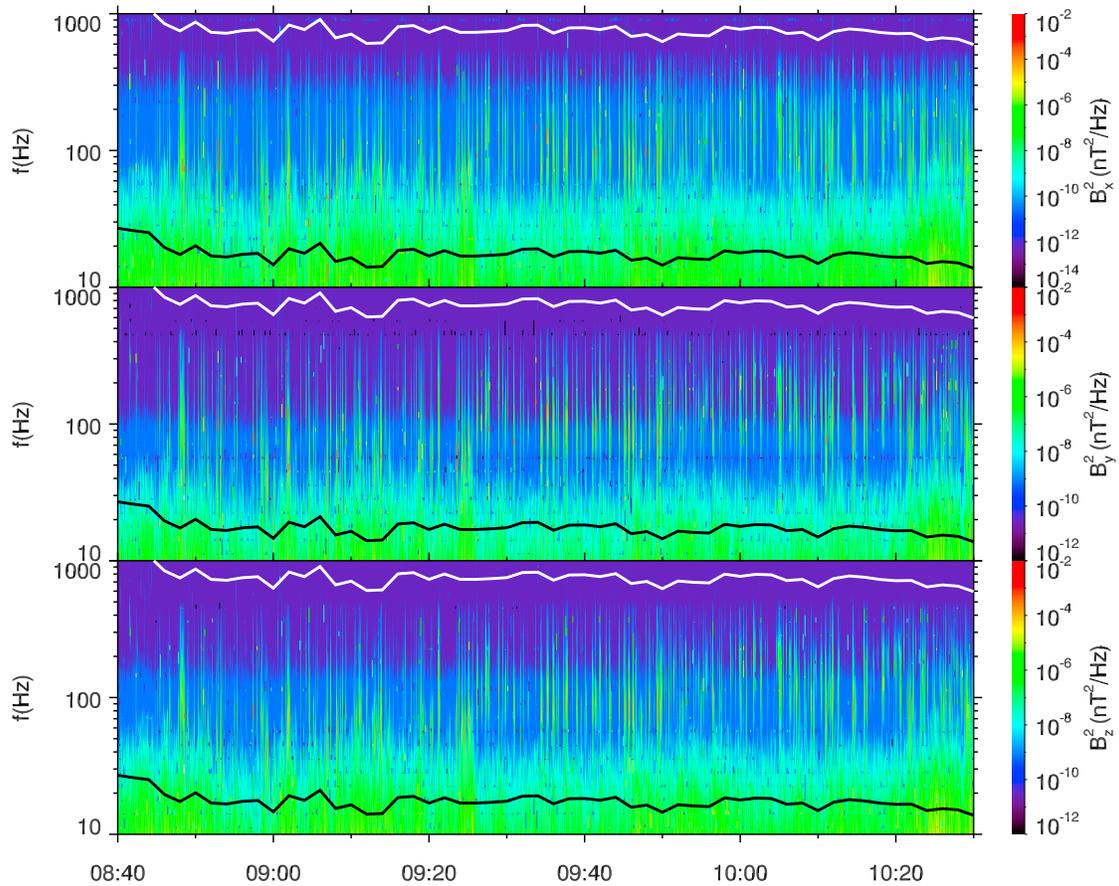
Equation (3), which associates the differential flux  $j(E)$  with the electron kinetic energy  $E$ , constitutes the basic method to model the observed data. Based on equation (3) and the observed differential fluxes, we can calculate the values of the indices  $\alpha$  by performing a nonlinear least squares fitting.

[6] During the interval 0800–1110 UT on 16 March 2002, Cluster was in the high-latitude northern magnetosheath. Cluster consists of four identical spacecraft which maintain a closely separated but evolving spatial array. The same case

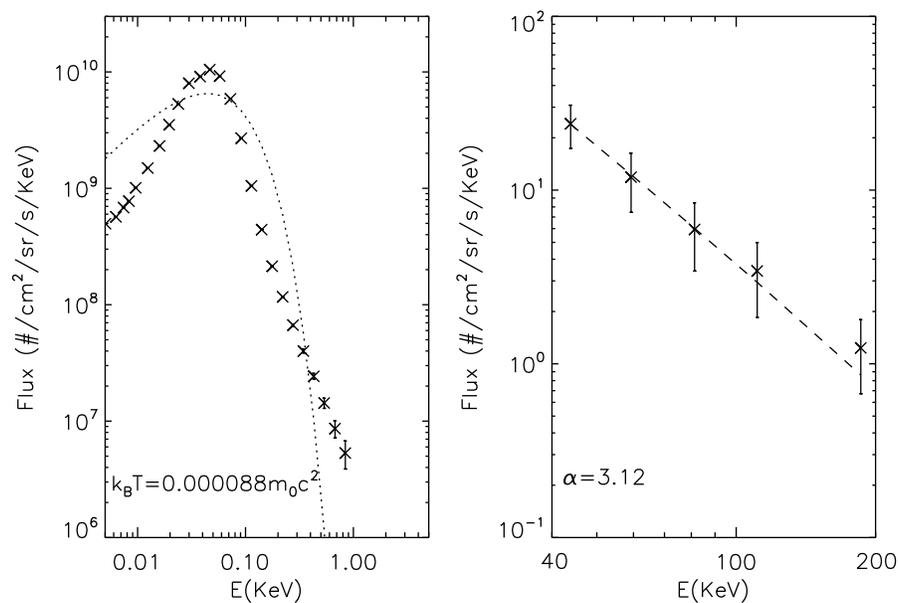
has also been used by Gary *et al.* [2005] to investigate electron anisotropy constraint in the magnetosheath by assuming that electrons satisfy a bi-Maxwellian distribution. In this paper, we assume that the electron distribution at high energies can be modeled by a power law function, then calculate the index  $\alpha$  of the power law distribution by a nonlinear least squares fitting method based on equations (1)–(3). We use measurements from several instruments on Cluster 1. The differential fluxes of energetic electrons are obtained by the Research with Adaptive Particle Imaging Detectors (RAPID) [Wilken *et al.*, 1997]. Magnetic field measurements are from Fluxgate Magnetometer (FGM) experiment [Balogh *et al.*, 2001], and the electron density is obtained from the Plasma Electron and Current Experiment (PEACE) [Johnstone *et al.*, 1997]. These data have 4 s spin resolution.

[7] Figure 1 illustrates the magnetic field, the electron density, and electron differential fluxes from the top to the bottom panels during the interval 0800–1110 UT on 16 March 2002. The spacecraft is moving away from the Earth through the magnetosphere and cusp. In the cusp the magnetic field is weak. At about 0811 UT the spacecraft encounters the magnetopause, and then enters the magnetosheath as indicated by the increase of the magnetic field and decrease of the electron density. In Figure 2, we plot the magnetic field spectra during the interval 0840–1030 UT, when the spacecraft is in the magnetosheath. Obvious wave activity can be found with frequencies around  $0.1 \Omega_e$ , which demonstrates the existence of whistler waves. The whistler waves may be excited by electron anisotropic distributions [Mace, 1998; Xiao *et al.*, 2006; Lu *et al.*, 2010; Mace and Sydora, 2010].

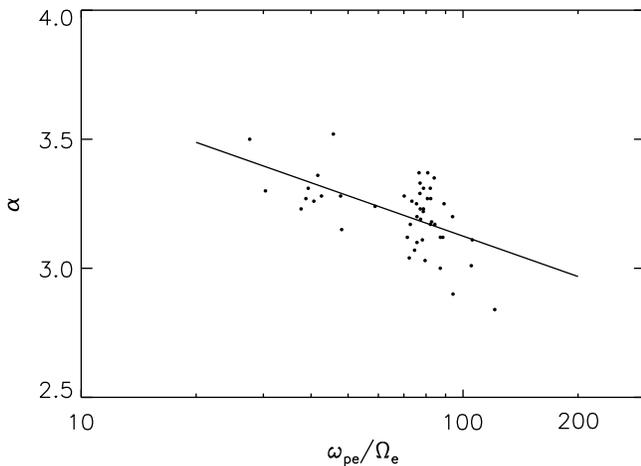
[8] On the basis of equations (1)–(3), we can calculate the values of the indices  $\alpha$  of the power law distributions



**Figure 2.** The magnetic field spectra during the interval 0840–1030 UT. The frequency-time spectrogram is measured by the Whisper experiment. The black and white lines represent the local lower hybrid and electron cyclotron frequencies, respectively.



**Figure 3.** The electron differential fluxes as a function of the electron kinetic energy during the interval 0931–0934 UT for (left) low energy and (right) high energy. The dashed line is fitted by the nonlinear least squares method with LMFIT function in the program Interactive Data Language, which utilizes Levenberg-Marquardt algorithm. The differential fluxes at lower and higher energies are modeled by Maxwellian and power law distributions. The error bars are also plotted.



**Figure 4.** The different values of  $\alpha$  (the indices of power law distributions) as a function of  $\omega_{pe}/\Omega_e$  in the magnetosheath. The line can be approximately described as  $\kappa \sim -0.226 \ln \frac{\omega_{pe}}{\Omega_e}$ .

by a nonlinear least squares fitting method according to the observed electron differential fluxes at high energies by Cluster 1. Figure 3 shows the electron differential fluxes as a function of the electron kinetic energy during the interval 0931–0934 UT for low energies (Figure 3, left) and high energies (Figure 3, right). Both the flux and kinetic energy are the average values during the interval. The dashed line is fitted by the nonlinear least squares method with LMFIT function in the program Interactive Data Language, which utilizes Levenberg-Marquardt algorithm [Marquardt, 1963]. In Figure 3 (left), the fluxes with low energies are modeled well by a Maxwellian distribution with  $k_B T = 0.000088 m_0 c^2$ . At lower energies below 0.04 keV, the observed fluxes are smaller than the fitted values with a Maxwellian distribution. Therefore, a flat-topped distribution may be better fitted to the observed fluxes. The results are similar to the ISEE 2 observations in the magnetosheath [Feldman et al., 1983]. In Figure 3 (right), the fluxes with higher energies are modeled by a power law distribution. We can find that the value of  $\alpha$  is 3.12.

[9] We can further divide the interval 0840–1030 UT into many subintervals, and every subinterval lasts for 2 min. During that interval, there exists obvious whistler wave activity. Then in every subinterval, the index  $\alpha$  of the power law distribution can be calculated with the same method as described in Figure 3. Because whistler waves can only scatter energetic electrons, only the power law distribution at high energies is considered. At the same time, the average value of the local  $\omega_{pe}/\Omega_e$  during every subinterval is also different. Therefore, we can obtain the different values of  $\alpha$  as a function of  $\omega_{pe}/\Omega_e$ , which is plotted in Figure 4. From Figure 4, we can find that values of  $\alpha$  are roughly in the range  $2.7 < \alpha < 3.6$ , which is consistent with other observations [Maksimovic et al., 1997b]. In addition, we can also find that with the increase of  $\omega_{pe}/\Omega_e$  the values of  $\alpha$  decreases. In Figure 4, the line can be approximately described as  $\kappa \sim -0.226 \ln \frac{\omega_{pe}}{\Omega_e}$  by the least squares fitting method with LMFIT function in the program

Interactive Data Language. The results are consistent with the simulations by Lu et al. [2010], which investigated the nonlinear evolution of the whistler instability driven by a population of superthermal electrons. At the quasi-equilibrium stage, the values of  $\alpha$  also decrease with the increase of  $\omega_{pe}/\Omega_e$  due to the scattering by the enhanced whistler waves. Please note that the data in Figure 4 show a significant degree of scatter. The reason is that besides  $\omega_{pe}/\Omega_e$ , there are still other factors, such as the amplitude and wave vector of the whistler waves, control the scattering of energetic electrons by whistler waves.

### 3. Conclusions and Discussion

[10] It is generally considered that superthermal electrons in space plasma possess a power law distribution. In this paper, by assuming that superthermal electrons observed by Cluster in the magnetosheath satisfy an isotropic power law distribution, we can calculate the indices of the power law distributions based on the differential fluxes of superthermal electrons observed by Cluster in the magnetosheath and a nonlinear least squares fitting method. Because the motions of energetic electrons tend to be nonadiabatic, their distributions are usually isotropic [Wang et al., 2010a, 2010b]. The assumption of an isotropic distribution of superthermal electrons is reasonable.

[11] The power law distributions of superthermal electrons have also observed in the magnetosheath by Feldman et al. [1982, 1983]. In this paper, we further found that the indices of the power law distributions decreases with the increase of  $\omega_{pe}/\Omega_e$ . The results are consistent with results of particle-in-cell simulations, which investigated the nonlinear evolution of the whistler instability driven by a population of superthermal electrons [Lu et al., 2010]. They also concluded that at the quasi-equilibrium stage the indices of power law distributions of superthermal electrons (or equivalent to values of  $\kappa$  of the  $\kappa$  distributions) decrease with the increase of  $\omega_{pe}/\Omega_e$  due to the scattering by the enhanced of whistler waves. The power law distributions in space plasma are considered as the results of wave scattering.  $\omega_{pe}/\Omega_e$  denotes the importance of scattering by the electrostatic or magnetic part of plasma waves. Therefore, the conclusion that indices of power law distributions of superthermal electrons decreases with the increase of  $\omega_{pe}/\Omega_e$  may have some implications on characteristics of plasma waves in different environments, which need further investigations.

[12] **Acknowledgments.** This work was supported by the National Science Foundation of China (NSFC) under grants 40931053, 40725013, 40974081, the Chinese Academy of Sciences under grant KJCX2-YW-N28, and the Fundamental Research Funds for the Central Universities (WK2080000010). All Cluster data are obtained from the ESA Cluster Active Archive. We thank the FGM, PEACE, and RAPID instrument teams and the ESA Cluster Active Archive.

[13] Philippa Browning thanks the reviewers for their assistance in evaluating this paper.

### References

- Balogh, A., et al. (2001), The Cluster magnetic field investigation: Overview of in-flight performance and initial results, *Ann. Geophys.*, 19, 1207, doi:10.5194/angeo-19-1207-2001.
- Collier, M. R., D. C. Hamilton, G. Gloeckler, P. Bochsler, and R. B. Sheldon (1996), Neon-20, oxygen-16, and helium-4 densities, temperatures,

- and suprathermal tails in the solar wind determined with WIND/MASS, *Geophys. Res. Lett.*, **23**, 1191, doi:10.1029/96GL00621.
- Feldman, W. C., R. C. Anderson, J. R. Asbridge, S. J. Bame, J. T. Gosling, and R. D. Zwickl (1982), Plasma electron signature of magnetic connection to the Earth's bow shock: ISEE3, *J. Geophys. Res.*, **87**, 632, doi:10.1029/JA087iA02p00632.
- Feldman, W. C., R. C. Anderson, S. J. Bame, S. P. Gary, J. T. Gosling, D. J. McComas, M. F. Thomsen, G. Paschmann, and M. M. Hoppe (1983), Electron velocity distributions near the Earth's bow shock, *J. Geophys. Res.*, **88**, 96, doi:10.1029/JA088iA01p00096.
- Gary, S. P., B. Lavraud, M. F. Thomsen, B. Lefebvre, and S. J. Schwartz (2005), Electron anisotropy constraint in the magnetosheath: Cluster observations, *Geophys. Res. Lett.*, **32**, L13109, doi:10.1029/2005GL023234.
- Gurevich, A. V. (1960), On the account of accelerated particles in anionized gas under various accelerating mechanisms, *Sov. Phys. JETP, Engl. Transl.*, **11**, 1150.
- Hasegawa, A., K. Mimi, and M. Duong-van (1985), Plasma distribution function in a superthermal radiation field, *Phys. Rev. Lett.*, **54**, 2608, doi:10.1103/PhysRevLett.54.2608.
- Johnstone, A. D., et al. (1997), PEACE: A plasma electron and current experiment, *Space Sci. Rev.*, **79**, 351, doi:10.1023/A:1004938001388.
- Leubner, M. P. (2000), Wave induced suprathermal tail generation of electron velocity space distributions, *Planet. Space Sci.*, **48**, 133, doi:10.1016/S0032-0633(99)00091-4.
- Leubner, M. P. (2004), Fundamental issues on kappa-distributions in space plasmas and interplanetary proton distributions, *Phys. Plasmas*, **11**, 1308, doi:10.1063/1.1667501.
- Leubner, M. P., and Z. Vörös (2005a), A nonextensive entropy approach to solar wind intermittency, *Astrophys. J.*, **618**, 547, doi:10.1086/425893.
- Leubner, M. P., and Z. Vörös (2005b), A nonextensive entropy path to probability distributions in solar wind turbulence, *Nonlinear Process. Geophys.*, **12**, 171, doi:10.5194/npg-12-171-2005.
- Livadiotis, G., and D. J. McComas (2009), Beyond kappa distributions: Exploiting Tsallis statistical mechanics in space plasma, *J. Geophys. Res.*, **114**, A11105, doi:10.1029/2009JA014352.
- Lu, Q. M., L. H. Zhou, and S. Wang (2010), Particle-in-cell simulations of whistler waves excited by an electron  $\kappa$  distribution in space plasma, *J. Geophys. Res.*, **115**, A02213, doi:10.1029/2009JA014580.
- Ma, C., and D. Summers (1998), Formation of power-law energy spectra in space plasmas by stochastic acceleration due to whistler-mode waves, *Geophys. Res. Lett.*, **25**, 4099, doi:10.1029/1998GL900108.
- Mace, R. L. (1998), Whistler instability enhanced by superthermal electrons within the Earth's foreshock, *J. Geophys. Res.*, **103**, 14,643, doi:10.1029/98JA00616.
- Mace, R. L., and R. D. Sydora (2010), Parallel whistler instability in a plasma with an anisotropic bi-kappa distribution, *J. Geophys. Res.*, **115**, A07206, doi:10.1029/2009JA015064.
- Maksimovic, M., V. Pierrard, and J. F. Lemaire (1997a), A kinetic model of the solar wind with kappa distribution functions in the corona, *Astron. Astrophys.*, **324**, 725.
- Maksimovic, M., V. Pierrard, and P. Riley (1997b), Ulysses electron distributions fitted with Kappa functions, *Geophys. Res. Lett.*, **24**, 1151, doi:10.1029/97GL00992.
- Marquardt, D. W. (1963), An algorithm for least-squares estimation of nonlinear parameters, *J. Soc. Ind. Appl. Math.*, **11**, 431, doi:10.1137/0111030.
- Pelletier, G. (1982), Generation of a high-energy electron tail by strong Langmuir turbulence in a plasma, *Phys. Rev. Lett.*, **49**, 782, doi:10.1103/PhysRevLett.49.782.
- Roberts, D. A., and J. A. Miller (1998), Generation of nonthermal electron distributions by turbulent waves near the Sun, *Geophys. Res. Lett.*, **25**, 607, doi:10.1029/98GL00328.
- Saito, S., F. R. E. Forme, S. C. Buchert, S. Nozawa, and R. Fujii (2000), Effects of a kappa distribution function of electrons on incoherent scatter spectra, *Ann. Geophys.*, **18**, 1216, doi:10.1007/s00585-000-1216-2.
- Schippers, P., et al. (2008), Multi-instrument analysis of electron populations in Saturn's magnetosphere, *J. Geophys. Res.*, **113**, A07208, doi:10.1029/2008JA013098.
- Summers, D., and R. M. Thorne (1991), The modified plasma dispersion function, *Phys. Fluids B*, **3**, 1835, doi:10.1063/1.859653.
- Tverskoi, B. A. (1968), Theory of turbulent acceleration of charged particles in a plasma, *Sov. Phys. JETP, Engl. Transl.*, **26**, 821.
- Vasyliunas, V. M. (1968), A survey of low-energy electrons in the evening sector of the magnetosphere withOGO 1 andOGO 3, *J. Geophys. Res.*, **73**, 2839, doi:10.1029/JA073i009p02839.
- Viñas, A. F., R. L. Mace, and R. F. Benson (2005), Dispersion characteristics for plasma resonances of Maxwellian and Kappa distribution plasmas and their comparisons to the IMAGE/RPI observations, *J. Geophys. Res.*, **110**, A06202, doi:10.1029/2004JA010967.
- Wang, R. S., Q. M. Lu, C. Huang, and S. Wang (2010a), Multispacecraft observation of electron pitch angle distributions in magnetotail reconnection, *J. Geophys. Res.*, **115**, A01209, doi:10.1029/2009JA014553.
- Wang, R. S., Q. M. Lu, A. M. Du, and S. Wang (2010b), In situ observations of a secondary magnetic islands in an ion diffusion region and associated energetic electrons, *Phys. Rev. Lett.*, **104**, 175003, doi:10.1103/PhysRevLett.104.175003.
- Wilken, B., et al. (1997), RAPID-the Imaging Energetic Particle Spectrometer on Cluster, *Space Sci. Rev.*, **79**, 399, doi:10.1023/A:1004994202296.
- Xiao, F., Q. Zhou, H. Zheng, and S. Wang (2006), Whistler instability threshold condition of energetic electrons by kappa distribution in space plasmas, *J. Geophys. Res.*, **111**, A08208, doi:10.1029/2006JA011612.
- Xiao, F. L., L. X. Chen, and J. F. Li (2008a), Energetic particles modeled by a generalized relativistic kappa-type distribution function in plasmas, *Plasma Phys. Controlled Fusion*, **50**, 105002, doi:10.1088/0741-3335/50/10/105002.
- Xiao, F. L., C. Shen, Y. Wang, H. Zheng, and S. Wang (2008b), Energetic electron distributions fitted with a relativistic kappa-type function at geosynchronous orbit, *J. Geophys. Res.*, **113**, A05203, doi:10.1029/2007JA012903.
- Yin, L., M. Ashour-Abdalla, J. M. Bosqued, M. El-Alaoui, and J. L. Bougeret (1998), Plasma waves in the Earth's electron foreshock: 1. Time-of-flight electron distributions in a generalized Lorentzian plasma and dispersion solutions, *J. Geophys. Res.*, **103**, 29,595, doi:10.1029/98JA02294.
- Yoon, P. H., C.-M. Ryu, and T. Rhee (2006), Self-consistent formation of electron  $\kappa$  distribution: 1. Theory, *J. Geophys. Res.*, **111**, A09106, doi:10.1029/2006JA011681.

Y. Li, Q. Lu, L. Shan, C. Shen, S. Wang, and T. Zhang, CAS Key Laboratory of Basic Plasma Physics, School of Earth and Space Sciences, University of Science and Technology of China, Hefei, Anhui 230026, China. (qmlu@ustc.edu.cn)