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Simulation of mode conversion at the magnetopause

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Two-dimensional (2-D) and three-dimensional (3-D) hybrid simulations are carried out for mode conversion from fast mode compressional wave to kinetic Alfvén waves (KAWs) at the inhomogeneous magnetopause boundary. For cases in which the incident fast wave propagates in the *xz* plane, with the magnetopause normal along *x* and the background magnetic field pointing along *z*, the 2-D (*xz*) simulation shows that KAWs with large wave number $k_x\rho_i \sim 1$ are generated near the Alfvén resonance surface, where ρ_i is the ion Larmor radius. Several nonlinear wave properties are manifest in the mode conversion process. Harmonics of the driver frequency are generated. As a result of nonlinear wave interaction, the mode conversion region and its spectral width are broadened. In the 3-D simulation, after this first stage of the mode conversion to KAWs with large k_x , a subsequent generation of KAW modes of finite k_y is observed in the later stage, through a nonlinear parametric decay process. Since the nonlinear cascade to k_y can lead to massive transport at the magnetopause, the simulation results provide an effective transport mechanism at the plasma boundaries in space as well as laboratory plasmas.

mode conversion, magnetopause, wave interaction, kinetic Alfvén wave (KAW)

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Transport of mass, momentum, and energy at plasma boundaries is fundamentally important in the dynamics of space plasmas. The transport at the magnetopause boundary drives much of the magnetospheric dynamics and is therefore of great interest to the magnetospheric research. The co-existence of the solar wind and magnetospheric populations in the magnetopause boundary layer and the thickening of the magnetopause boundary layer during periods of northward interplanetary magnetic field (IMF) indicates the existence of efficient transport processes across the magnetopause [1–10]. Wave-particle diffusive processes associated with large-amplitude ULF waves, which are frequently observed at the magnetopause boundary, are believed to provide one of effective mechanisms of plasma entry at the magnetopause [11–29].

It has been suggested that mode conversion from compressional to Alfvén modes provides a source of Alfvén waves at the magnetopause boundary [30–35]. Multi-point measurements have verified that the dispersion of the broadband waves is consistent with the kinetic Alfvén waves (KAWs) [35,36]. Such mode conversion may lead to effective heating and transport of plasma at the magnetopause [30, 32, 33, 37]. Recent THEMIS spacecraft observations [35] show a direct evidence of a turbulent spectrum of KAWs at the magnetopause.

According to linear theory, when an MHD fast mode compressional wave propagates in an inhomogeneous plasma, it is coupled with the shear Alfvén wave at the local Alfvén resonance where $\omega = k_{\parallel}V_A$ [38–42], with ω being the wave frequency, k_{\parallel} the parallel wave number, and V_A the Alfvén speed. In higher frequency cases, the Alfvén resonance condition is modified as $\omega^2 = k_{\parallel}^2 V_A^2 (1 - \omega^2 / \Omega_i^2)^2$ due to the finite ion Larmor radius effects [43], where Ω_i is the ion gyrofrequency. While the MHD equations become singular at the Alfvén resonance point, in the kinetic description the wave coupling is through the mode conversion to kinetic Alfvén

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waves [31, 32, 34, 44]. In the magnetosphere, fast mode compressional waves originated from the foreshock region of the quasi-parallel bow shock [45, 46] frequently impinge onto the magnetopause, where the magnetic field increases and density decreases and there thus exists a sharp increase in the Alfvén velocity. The mode conversion may occur at the point in the magnetopause where the incident frequency matches the Alfvén resonance condition.

Although analytical solutions have been obtained for mode conversion at the magnetopause [32, 34], there has been few kinetic simulation addressing the mode conversion in this region. Previously, we conducted a three-dimensional (3-D) global hybrid simulation of the dayside magnetosphere associated with a quasi-parallel bow shock [47]. It was found that as the compressional waves generated in the foreshock of the bow shock propagate to the magnetopause, they lead to strong surface perturbations and mode convert to shear Alfvén waves in the magnetosphere. At the magnetopause, wave energy builds up with wavelength $\lambda_{\perp} \sim \rho_i$, with a strong local enhancement of the parallel electric field. These waves excited in the magnetopause appear to be consistent with KAWs, but the wave mode and its formation process were difficult to identify in the global simulation. In order to identify the KAWs in the complicated global system, it is necessary to first understand the mode conversion process in a simple current sheet geometry.

In this paper, our recent two-dimensional (2-D) [48] and 3-D hybrid simulations are shown for evolution of an incident compressional fast mode wave at the dayside magnetopause. The hybrid model solves fully kinetic equations governing the ions and a fluid model for electrons including electron pressure effects. The focus of this study will be on the identification of the mode conversion and the excitation and evolution of KAWs. For this study, we consider that locally the background magnetic field can be approximated by a slab geometry with one-dimensional variation normal to the boundary. We only show results of cases in which the wave vector \mathbf{k}_0 of the incident wave lies in the plane defined by the background magnetic field \mathbf{B}_0 (along \mathbf{z}) and the magnetopause normal (along \mathbf{x}).

The 2-D simulation results will be compared with linear theories of mode conversion [32, 34, 44]. Basic nonlinear physics of the mode conversion process will also be examined. More important physics for the plasma transport, however, lies beyond the 2-D limitation. The diffusion coefficient across a density gradient results from incoherent scattering from the perturbed velocity in the direction of the density gradient. Components of the perturbed drift velocities across the magnetopause are all proportional to the azimuthal mode number k_y , and the diffusion coefficient is proportional to k_y^2 [32, 49]. Fast waves generated in the magnetosheath most likely have global scale azimuthal mode numbers, so it is particularly important to examine whether small scale (large k_y) azimuthal modes can be generated in the 3-D mode conversion process. Although the background $B_y = 0$ in the

case shown in this paper, the 3-D physics is applicable to the generation of azimuthal wave numbers that is perpendicular to both **B** and **x** in the general cases of $B_y \neq 0$.

The outline of this manuscript is as follows. The simulation model is described in Section 1. In Section 2, we present the 2-D simulation results of linear and nonlinear properties of mode conversion process. The 3-D simulation results are presented in Section 3. A summary and discussion is given in Section 4.

1 Hybrid simulation model

In the calculation, ions are treated as fully kinetic particles moving in a self-consistent electromagnetic field, and electrons are treated as a corresponding massless fluid. Quasicharge neutrality is assumed.

The 2-D simulation [48] is performed in the *xz* plane, with *x* being the direction normal to the magnetopause and *z* the direction of wave vector tangential to the magnetopause. Initially, the magnetopause current sheet in slab geometry is assumed to be centered at x = 0 in the middle of the simulation domain, separating two uniform plasma regions of the magnetosheath (x < 0) with a high density and low magnetic field strength and magnetosphere (x > 0) with a low density and high magnetic field. The initial current sheet is assumed to be a tangential discontinuity, with the normal component of magnetic fields in the magnetosheath and magnetos

In the calculation, the ion particles are advanced by the ion equation of motion:

$$m_i \frac{\mathrm{d} \boldsymbol{v}_i}{\mathrm{d} t} = e(\boldsymbol{E} + \boldsymbol{v}_i \times \boldsymbol{B}), \tag{1}$$

where v_i is the ion particle velocity, E is the electric field, B is the magnetic field, m_i is the ion mass, and e is the elementary charge. The electric field can be obtained from the massless electron momentum equation:

$$\boldsymbol{E} = -\boldsymbol{V}_e \times \boldsymbol{B} - (1/Ne)\nabla P_e, \tag{2}$$

where V_e and P_e are the flow velocity and thermal pressure of the electron fluid, and N is the ion number density. The electron fluid is assumed to be isothermal, with temperature

$$T_e = \text{const}$$
 (3)

during the evolution, and thus $\nabla P_e = T_e \nabla N$. The electron flow speed is evaluated from Ampere's law,

$$\boldsymbol{V}_e = \boldsymbol{V}_i - \frac{\nabla \times \boldsymbol{B}}{\mu_0 N e}.$$
(4)

The magnetic field is advanced in time from Faraday's law

$$\frac{\partial \boldsymbol{B}}{\partial t} = -\nabla \times \boldsymbol{E}.$$
 (5)

Let the subscripts "s" and "m" represent the quantities in the magnetosheath and magnetosphere, respectively. The initial profile of the ion number density is given by

$$N_0(x) = \frac{1}{2}(N_m + N_s) + \frac{1}{2}(N_m - N_s)\tanh(x/D_0),$$
 (6)

where D_0 is the half-width of the magnetopause current sheet. The initial ion temperature T_{i0} and the electron temperature T_{e0} are assumed to be uniform everywhere, while the ions are loaded with an isotropic, Maxwellian velocity distribution. For a given magnetosheath ion beta β_{is} and T_{e0}/T_{i0} , the initial magnetic field B(x) is determined by the total pressure balance

$$P_0(x) + B_0(x)^2 / 2\mu_0 = \text{const}$$
(7)

throughout the simulation domain, where the total thermal pressure $P_0 = P_{i0} + P_{e0}$.

The ion number density in the magnetosheath is chosen to be $N_s = 1000$ per cell and the number density in the magnetosphere is $N_m = N_s/10 = 100$. The uniform grid size in the *x* direction is chosen to be $\Delta x = 0.5d_{is}$, where d_{is} is the ion skin depth in the magnetosheath, and the grid size in the *z* direction is $\Delta z = 2d_{is}$. The size of the simulation domain is chosen around $L_x \times L_z = 200d_{is} \times 256d_{is}$. The time step is $\Delta t = 0.05\Omega_s^{-1}$, where Ω_s is the ion gyrofrequency in the magnetosheath.

Periodic boundary conditions are assumed at z = 0 and $z = L_z$. Free boundary conditions are used at $x = L_x/2$ on the magnetospheric side. The solar wind wave perturbations are imposed from the incoming boundary at $x = -L_x/2$ in the magnetosheath and assumed to be a sinusoidal wave with a single frequency, $\omega = \omega_0$. For each case, the quantities k_z , $a \equiv \omega_0/(k_{\parallel 0}V_{As})$, and δV_i of the incident wave are prescribed, where $k_{\parallel 0}$ is the initial parallel wave number, V_{As} is the magnetosheath Alfvén speed, and δV_i is the wave amplitude in the flow velocity. The imposed incident wave is assumed to satisfy the MHD fast mode dispersion relation [50].

In the 3-D simulation, the initial incident wave is imposed exactly the same as that in the 2-D cases, propagating in the *xz* plane. The additional grid size in *y* is $\Delta y = d_{is}$, and the periodic boundary conditions are assumed at the *y*-boundary surfaces. The grid dimensions $n_x \times n_y \times n_z = 200 \times 65 \times 65$.

In the presentation below, the time is normalized to Ω_s^{-1} . The magnetic field is expressed in units of B_s , the ion number density in units of N_s , and the temperature in units of $B_s^2/(\mu_0 N_s)$. The velocity is normalized to the magnetosheath Alfven speed V_{As} , and the spatial coordinates are normalized to d_{is} .

2 2-D simulation results

The 2-D results of case 1 are shown below, in which $\beta_{is} = 0.5$, and $T_{e0}/T_{i0} = 0.4$ for the background magnetic field and plasma, and $\omega_0 = 0.392$, a = 2.0, and $\delta V_i = 0.04$ in the incident compressional wave. The half-width of the magnetopause current layer is $D_0 = 7.5$. The Alfvén speed V_A increases by nearly a factor of 4 through the magnetopause boundary, whereas the point with the Alfvén resonance condition is satisfied is at $x \approx 53$. The incident fast wave propagates in the *xz* plane with $(k_{x0}, k_{y0}, k_{z0}) = (0.262, 0, 0.196)$.

Figure 1(a) depicts contours of magnetic field components B_x and B_z , ion density N, ion flow component V_{ix} , and the E_{y} component of electric field in partial domain around the magnetopause, obtained in case 1 at an early time t = 80when the incident compressional wave just reaches the edge of the magnetopause boundary near x = -5 from the left. At later time t = 240, as shown in Figure 1(b) after the interaction of the incident wave with the magnetopause transition layer, reflected waves are also found on the magnetosheath side. Meanwhile, larger-amplitude, short wavelength waves have clearly formed in the transition layer, where the density and magnetic field have a large gradient. In these waves, the shear components B_y and V_{iy} , E_x , and the parallel current density J_{\parallel} are well correlated. The parallel wavelength remains nearly unchanged, while the perpendicular wavelength has decreased significantly. On the right edge of the newly formed waves, the enhanced perpendicular wave vector k_x has reversed direction from $k_x > 0$ in the incident wave to $k_x < 0$, as seen from the tilt angle of the wave fronts. These short wavelength waves radiate back to the magnetosheath, leading to structures with even larger $k_{\perp} = k_x$ in the boundary layer. Strongly enhanced parallel electric field E_{\parallel} is present in the resulting waves. In the case with a larger $\delta V_i = 0.15$, strong enhancement in T_i is obtained around the resonance point.

To illustrate the detailed structure of the short-wavelength waves generated in the magnetopause boundary layer, Figure 2 shows spatial cuts of the three magnetic field components and the parallel electric field E_{\parallel} along x through the central region of the domain at t = 240, where the background B_z has a gradient, at $z \approx 128$. In addition to the long-wavelength incident waves, coherent waves are seen to be excited apparently in B_y and E_{\parallel} , with wavelength ~ 3.15–7.35 in the x direction, corresponding to $k_{\perp}\rho_i \sim 0.4$ –1.0. The vertical dashed line in Figure 2 indicates the position $x = X_r = 4.0$. The strongest wave excitation is clearly coincident with the predicted Alfvén resonance position X_r , and upstream radiation of the waves is seen to some spatial extent. On the magnetospheric (right) side of X_r , the structure shows a pure spatial decay [48].

The excitation of waves at the Alfvén resonance point is consistent with the mode conversion to KAWs. Away from the Alfvén resonance point at x = 4.0, waves satisfy the kinetic Alfvén wave dispersion relation. For example, near x = 0, $\omega^2/k_{\parallel}^2 V_A^2 \simeq 1.78$, $k_{\perp}\rho_i \simeq 0.72$, and $b = k_{\perp}^2\rho_i^2 \simeq 0.52$. The KAW dispersion relation requires

$$\frac{\omega^2}{k_{\parallel}^2 V_A^2} = 1.78 \sim \left(\frac{b}{1 - \Gamma_0(b)} + \frac{T_e}{T_i}b\right) \simeq \left(1 + (1 + \frac{T_e}{T_i})b\right) = 1.73.$$
(8)

Therefore, the dispersion relation of KAWs is satisfied in



Figure 1 Contours of various quantities in partial domain around the magnetopause obtained in case 1 for (a) at an early time t = 80 and (b) t = 240. Short wavelength, coherent waves are generated by interaction between the incident wave and the magnetopause.

these excited waves in the magnetopause. On the left side of the resonance point, the Alfvén speed is smaller. The perpendicular wavelength appears shorter with enhanced $b = k_{\perp}^2 \rho_i^2$. The dispersion relation for KAW is again nearly satisfied. The ratio $|\delta E_{\parallel}|/|\delta E_x|$ in the resulting KAW is found to be linearly proportional to T_{e0}/T_{i0} [48], consistent with the linear two-fluid theory with gyrokinetic closure for the ion pressure [44, 51, 52].

It is found that for $\omega_0 > 0.1$, the mode conversion to KAWs takes place even for the case in which the incident compres-

sional wave possesses no $k_{\perp 0}$ transverse to both the magnetic field and the magnetopause normal, as seen in case 1. This result is not expected based on the low-frequency theory of mode conversion [38–41], in which the compressional and transverse waves are completely decoupled in such case. Our results indicate that finite frequency effects can remove the de-coupling between the compressional and transverse waves as originally noted by [53]. In addition, the absorption varies significantly with ω_0 , peaking near $\omega_0 \sim 0.3\Omega_s$, consistent with a theoretical model [50] that solves an analytic



Figure 2 Spatial cuts of the magnetic field components and parallel electric field at t = 240 along x through the central region of the domain at $z \approx 128$ in case 1. The vertical dashed line indicates the resonance position $x = X_r = 4.0$.

solution of the full fluid wave equations in a system containing an equilibrium structure of the magnetopause [48]. The efficiency of the mode conversion process can be greatly enhanced over the MHD limit and for a broad range of frequency can capture most of the wave power in the magnetopause boundary layer where it is converted into kinetic Alfvén waves. On the other hand, it has weak dependence on D_0 and T_{e0}/T_{i0} .

In addition to the linear properties discussed above, a number of interesting nonlinear properties are found in our simulation: passive generation of harmonics for weak nonlinear driving; wave breaking in the upstream compressional wave evidenced by upstream generation of nonlinear harmonics; and general broadening of the wave spectrum such that there is a broadening of the resonant region to encompass the entire Alfvén-cyclotron continuum across the magnetopause [48].

Besides the primary mode with $\omega = \omega_0$, multiple harmonics of ω_0 are generated in the region of mode conversion, paired with harmonics of $k_{\parallel 0}$. The powers of these modes decrease with the order of the harmonics, with the primary harmonic mode $\omega = \omega_0$ being the strongest and dominant. For larger frequency and/or amplitude of the compressional wave driver, harmonics of ω_0 can be generated in the incident compressional wave before it reaches the magnetopause, due to nonlinear steepening.

At finite frequency ω_0/Ω_i , the appearance of paired harmonics would alter the resonance location, occurring at $\omega = k_{\parallel}V_A(1 - \omega^2/\Omega_i^2)$, for the harmonic waves with $\omega_0/k_{\parallel 0} = 2\omega_0/2k_{\parallel 0} = \cdots = n\omega/nk_{\parallel 0} = V_A$. Since higher harmonics would require a larger V_A to satisfy the resonance condition, the nonlinear wave structure should shift toward the region of increasing V_A . In this manner the generation of harmonics near the fundamental resonance could lead to a spreading of the resonant wave structure in the direction of increasing V_A . However, the resonance condition itself may only be satisfied for a limited range of frequency which satisfies $n_{\parallel}^2 = S$ between the primary resonance and the maximum Alfvén velocity on the right side of the domain. The broadening of the resonance is more pronounced at lower frequency.

In the simulation it also appears that nonlinear wave-wave interaction results in the broadening of the spectral bandwidth in ω and the appearance of large amplitude waves within the Alfvén continuum, as shown in Figure 3 for case 2 with a = 1.5, $\omega_0 = 0.294$, $\beta_{is} = 0.5$, $T_{e0}/T_{i0} = 0.4$, $\delta V_i = 0.04$, $D_0 = 7.5$, and $k_{\parallel 0} = 0.196$. Shown in Figure 3 are the spatial structures of B_y as a function of x around z = 128 at t = 150, 200 and 400. The vertical dashed lines indicate the resonance point at $x = X_r = -1.0$. The broadening of the Alfvén resonance region is evident at t = 150, when the wavy structure of B_y is seen throughout the region from $x = X_r$ to $x \approx 9$ on its right, with a larger amplitude at $x = X_r$. At t = 200, the



Figure 3 Spatial cuts of B_y as a function of *x* around z = 128 at t = 150, 200 and 400 show the broadening of the Alfvén resonance region in case 2. The vertical dashed lines indicate the resonance point at $x = X_r$.

waves at $x = X_{r1}$ become predominant, while weaker perturbations are still seen on its right. At t = 400, the peak at $x = X_r$ has obviously shifted to the right, and the perturbations occupy a broad area. Again, strong KAWs with larger k_{\perp} also radiate into the magnetosheath on the left side of $x = X_r$.

A close examination shows that on the right side of the resonance point $x = X_r$, the wave spectral width in ω is significantly broadened in the way that modes with frequencies other than ω_0 are also excited at $k = k_{\parallel 0}$. Figure 4 depicts the powers of B_x and B_y in the k_{\parallel} - ω plane at $x = X_r = -1.0$ and x = 9.0. Discrete harmonic modes $(k_{\parallel 0}, \omega_0)$ and $(2k_{\parallel 0}, 2\omega_0)$ are present clearly in all the three field components (B_z not shown) at the resonance point x = -1.0. At x = 9.0 on the magnetospheric side, however, broad spectra with $\Delta \omega \simeq$ $0.9\omega_0$ appear predominantly in B_x and B_y at $k_{\parallel} = k_{\parallel 0}$, in addition to the mode near $(k_{\parallel 0}, \omega_0)$. Continuous spectral curves are also shown across the k_{\parallel} - ω space, which are found to be the normal modes that also exist under a random perturbation of the boundary layer without the incident coherent waves and in principle could also be excited by the numerical noise. In fact, the dominant mode in B_y appears at $(k_{\parallel 0}, 1.9\omega_0)$, coincident with the curvy continuous spectrum at $k_{\parallel 0}$, whereas the power in B_x is still dominated by the primary $(k_{\parallel 0}, \omega_0)$.

The broadening of the mode conversion region shown in Figure 3 may be associated with the broadening of ω spectrum at $k_{\parallel} = k_{\parallel 0}$. Due to the presence of compressional wave power in the broad band of wave frequency, the Alfvén res-

onance condition can be satisfied in a broad area of the density gradient layer, although the waves are weaker beyond the primary resonance point $x = X_r$. Such effect leads to the broadening of the resonance region, which imply that transport processes can occur over a wider region encompassing most of the magnetopause. Consequently, it is expected that diffusive processes would be more efficient in that they operate over a wider spatial region.

The excitation of modes in the continuum could result from a number of possible mechanisms. One possibility is that nonlinear effects broaden the wave spectrum near the primary resonance location. The frequency broadened waves could satisfy the resonance condition at a different location. Such waves could leak energy from the primary resonance location to the location where their shifted frequency matches the continuum frequency, leading to a pile up of energy at the shifted resonance location. Another possibility is that the waves are generated locally through nonlinear wave-wave interaction associated with a parametric excitation between the primary mode driver and the continuum at the shifted resonance location.

3 3-D simulation results

We now investigate the 3-D effects of mode conversion. The results of case 1 from the 3-D hybrid simulation is discussed below.



Figure 4 Powers of B_x and B_y in the k_{\parallel} - ω plane at $x = X_r = -1.0$ and x = 9.0 obtained in case 2.

The resulting spatial structure in the *xz* plane are similar to that of case 1. Larger-amplitude, short wavelength waves are excited in the transition layer between $x \simeq 47-60$ around the Alfvén resonance point. Similar to the 2-D results in Figure 1, these waves show characteristics of KAWs with large and broadband wave vectors $k_x \rho_i \sim 1$, dominated by perturbations in B_y , E_x , E_{\parallel} , and parallel current density J_{\parallel} .

Figure 5 shows the spatial structures in the *yz* plane tangential to the magnetopause, at the resonant point x = 53 at t = 200. While $k_{y0} = 0$ in the incident wave, strong wave perturbations with large k_y have clearly formed, as seen from the variations of all quantities. The excitation of the k_y modes appears after the mode conversion from the compressional wave to the KAWs dominated by k_x 's as discussed above. A spectral analysis in the k_y - k_{\parallel} plane for the wave structures at x = 53 shows dominant wave powers at finite $k_y \sim 1$, corresponding to $k_y \rho_{is} \sim 0.5$, where ρ_{is} is the ion Larmor radius in the magentosheath.

To understand the nonlinear physics of the 3-D mode con-

version processes, we plot in Figure 6 the time evolution of the modes dominated by k_x and modes dominated by k_y . Figure 6(a) shows the powers of B_x (black), E_x (green), E_y (blue), and E_{\parallel} (red) of modes with $k_x = -1.0$, $k_z = 0.196$, and all k_y at y = 32. It is seen that E_x and E_{\parallel} grow from t = 40, when the incident fast wave reaches the magnetopause boundary, while B_x and E_y show no growth, consistent with the presence of KAWs dominated by k_x . The powers are saturated in the second stage at $t \sim 80$. Finally, E_{\parallel} shows a growth again for t > 145.

Overall, the first-stage growth of KAWs from t = 40 to 80 is due to the linear physics of mode conversion from the fast wave to KAWs. The second and final stages of evolution for t > 80 is due to the nonlinear physics in which the mode coupling to k_y plays a significant role. The growth of small-scale k_y modes after t = 80 is illustrated in Figure 6(b), for modes with $k_y = -1.0$, $k_z = 0.196$ and all k_x at the resonance point x = 53, corresponding to the dominant k_y modes. In t = 80–145, the strong growth in B_x , E_y and E_{\parallel} is consistent with



Figure 5 Contours of various quantities at t = 200 in the yz plane at the Alfvén resonant point x = 53 obtained from the 3-D simulation of mode conversion.



Figure 6 Time evolution of B_x (black), E_x (green), E_y (blue) and E_{\parallel} (red) for the KAWs modes dominated by k_x (a) at y = 32 and for those dominated by k_y (b) at the resonance point x = 53 during the mode conversion.

KAWs that are dominated by k_y , which nonlinearly co-exist with the KAWs dominated by k_x . Finally for t > 145, the wave perturbations of k_x and k_y modes (displayed in Figure 6(b)) become isotropic with both E_x and E_y growing at the same rate.

The above results indicate a nonlinear decay of the primary KAWs dominated by k_r to secondary KAWs dominated by k_v . Early theoretical work for cold plasmas [54, 55] $(\beta \sim (m_e/m_i)^{1/2} \ll 1$, where m_e and m_i are the electron and ion masses, respectively) and a recent extension to finite $k_{\perp}\rho_i$ [56] found that a pump KAW can nonlinearly decay into an ion acoustic mode and a lower-sideband daughter KAW through a parametric instability. For a pump KAW propagating with $\mathbf{k}_p = (k_{xp}, 0, k_{zp})$, where z is the direction of the background magnetic field B_0 , the nonlinear coupling coefficient is proportional to $\Lambda = -i(c_s^2/2\Omega_i)(\mathbf{k}_s \times \mathbf{k}_p) \cdot \mathbf{e}_z$, where $k_s = k_s e_y$ is the wave vector of the ion acoustic mode. Since the pump mode has $k_{yp} = 0$, the wave number matching condition results in that the daughter KAW must have a finite wave number $k_d = -k_s e_y$. A further simulation indeed shows that an initial KAW in the hot plasma of case 1 with $k_v = 0$ decays through a parametric instability similar to that described by Hasegawa and Chen [54], accompanied by the growth of an ion acoustic wave and a daughter KAW, both with $|k_v \rho_i| \sim 1$.

4 Summary

In summary, our hybrid simulations have illustrated how an incident fast-mode compressional wave mode convert both linearly and nonlinearly to KAWs near the Alfvén resonance surface in the magnetopause boundary. The 2-D simulation shows that as the compressional wave reaches the magnetopause boundary from the magnetosheath, strong KAWs are excited at the location where the Alfvén resonance condition $\omega = k_{\parallel} V_A(x)(1 - \omega^2/\Omega_i^2)$ is satisfied. The simulation results are compared with the linear theory of mode conversion. The efficiency of the mode conversion process can be greatly enhanced over the MHD limit and for a broad range of frequency. Unlike the prediction from low-frequency theory of the Alfvén resonance, KAWs are found to be generated also in cases in which the incident wave vector tangential to the magnetopause is parallel to the magnetic field, provided that the driver frequency $\omega_0 > 0.1 \Omega_s$, where Ω_s is the ion gyrofrequency in the magnetosheath.

In addition to the linear physics, several nonlinear properties of the mode conversion have been obtained. Harmonics of ω_0 are found to be generated in the mode conversion process, in addition to the primary ω_0 of the incident wave. Nonlinear wave-wave interaction that develops near the primary resonance point can lead to a broadening of the spectrum at the resonance location because the dispersion depends on frequency. In addition, on the high V_A side of the resonance, peaks occur at the local continuum frequency having $k_{\parallel} = k_{\parallel 0}$ (as well as its harmonics in many cases). Regardless of the cause, we have found that nonlinear interactions driven by a monochromatic compressional wave driver lead to a broadened resonant region (basically exciting the entire continuum and not just the primary resonance) in a significantly different manner than the broadening that would result if the incident wave packet had a frequency spectrum with a spectral width.

In the 3-D case, our simulation shows a multiple-stage process of the excitation of KAWs. Following the first stage of the mode conversion to KAWs with large $k_x\rho_i \sim 1$ near the Alfvén resonance surface, which propagate in the same k_x - k_z plane as the incident wave as shown in the 2-D cases, KAW modes with large k_y 's are generated. The generation of the finite k_y modes results from nonlinear parametric decay of the first-stage primary KAWs. The cases shown in this paper corresponds to the magnetopause under the condition with a northward IMF component. In this situation, mode conversion of compressional waves at the Alfvén velocity gradient quickly cascades to KAWs with large azimuthal (east-west) wavenumber k_y in a 3-D manner, which may lead to massive transport at the magnetopause and provide a mechanism of global plasma entry into the plasma sheet [32, 35].

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